

# Small Estates Statement and Indemnity

Please return this form to the registrar of the issuer

Company, Trust, Warrant or Product in which investment is held

Full Name(s) of Registered Holding

  

Account Designation

Registered Address

  
  
 Postcode 

Securityholder Reference Number (SRN) OR Share Certificate Number

**A**

## SMALL ESTATE STATEMENT AND INDEMNITY

Please use a BLACK pen using capital letters. Photocopies will not be accepted.

1. Description of Securities (Shares, Options etc.)

2. Number of Securities held

I/We do solemnly and sincerely declare I am/we are the legal representative(s) for the above deceased estate.

3. Full name(s) of Executor(s) or Administrator(s)

  

4. Address of Executor(s) or Administrator(s). Only one address can be recorded.

  
 Postcode

5. I/We request the security issuer to permit transmission of the securities detailed above to ourselves as legal representative(s) of the estate without requiring a Grant of Probate or Letter of Administration or reseal of grant of probate to be obtained in the state of:

 (Australian State or Territory where the securities are registered)

In consideration of the security issuer registering the securities in my/our name(s). I/We hereby covenant to indemnify and forever keep indemnified the security issuer, the directors and trustees of the security issuer, the security registrar and the directors and officers of the security registrar from and against all losses in respect thereof and all claims, actions, proceedings, demands and costs and expenses whatsoever which may be made or brought against them by reason of compliance with this request.

6. Contact Name

Contact Mobile Number

Email

## **B** SIGNATURE(S) OF EXECUTOR(S)/ADMINISTRATOR(S) THIS MUST BE COMPLETE

Executor/Administrator

Executor/Administrator

Executor/Administrator

Witness

Witness

Witness

The witness(es) certifies that the person(s) who has/have signed this statement is/are known to them and has/have signed in the presence of the witness with their normal signature(s)

Date:

**C**

## HOW TO COMPLETE THIS FORM

A. Small estates statement and indemnity – Enter the following in the spaces provided:

1. A brief description of the type of securities.

2. The number of securities held in figures.

3. The name of Executor(s) of Administrator(s).

4. The address details to be entered on the

register. Please note only one address can

be recorded (delivery of correspondence).

5. The Australian State or

Territory where registered.

6. Contact name and number.

**Important notice: If the holding is a broker sponsored holding in CHES, do not send this completed form to registrar. You must contact the sponsoring broker to lodge a Request to Register Surviving Holder(s) form.**

**B. Signature** – all surviving holder(s) must sign